

### COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $_{\_}$  is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$ and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

imended by any amendm	ent referred to a	DOVE.				
acknowledge the duty to di Code of Federal Regulatio	ns, Section 1.56	5.				
hereby claim foreign prior nventor's certificate listed ling date before that of th	pelow and have a	also identified below a	any toreign applic	)-(d) of any foreig ation for patent or	n application(s) for inventor's certific	or patent or cate have a
For Foreign Application(	s):				<u>Priority</u> <u>Yes</u>	Claimed No
ii	ountry	Day/Month/Ye				
hereby claim the benefit un See attached Appen Application Ser. No.	dix A (listing F	18 U.S. Provision	onal Patent A	oplications)		
hereby claim the benefit uses the subject matter of eaprovided by the first parage fined in Title 37, Code chational or PCT internation	ch of the claims or raph of Title 35, U of Federal Regul	of this application is n Inited States Code, { ations, §1.56 which (	ot disclosed in the 3112 Tacknowled	ge the duty to disc	close material inf	ormation as
See Attached Apper	ndix B (listing	19 U.S. and PC	T Patent App	lications)		
Application Ser. No.	F	iling Date	Status	s: Patented, Pen	nding, Abandone	d
Application Ser. No.	F	Filing Date	Statu	s: Patented, Per	nding, Abandone	d





09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

1 DNA Way

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

herein will be so notified by the undersigned.	
full name of sole or first inventor	
Kevin P. Baker	
riventor's signature	Date 8/2/01
Residence / / / / / / / / / / / / / / / / / / /	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
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David Botstein	Date
laventor's signature	Date ·
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Inventor's signature	Date
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Post Office Address	

ill name of forth inventor	
apoleone Ferrara	Date
ventor's signature	
esidence 090 Pacific Avenue #704, San Francisco, California 94109	
itizenship JS	
ost Office Address DNA Way South San Francisco, CA 94080	
ull name of fifth joint inventor, if any	
Ellen Filvaroff	Date
nventor's signature	Date
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Audrey Goddard	
Inventor's signature	Date
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Citizenship CA	·
Post Office Address	
1 DNA Way South San Francisco, CA 94080	

aul J. Godowski	Date
ventor's signature	Date
esidence 627 Easton Drive, Burlingame, California 94010	
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. Christopher Grimaldi	Date
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Full name of tenth inventor	
口 Apştin L. Gurney	Data
Inventor's signature	Date
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Citizenship US	
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South San Francisco, CA 94080  Full name of eleventh joint inventor, if any	
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Full name of thirteenth inventor	
Mary A. Napier Inventor's signature	Date
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Full name of fourteenth joint inventor, if any Margaret Ann Roy	·
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Full name of fifteenth joint inventor, if any	
Daniel Tumas	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixteenth inventor		
William I. Wood		
Inventor's signature	Date	
Residence 35 Southdown Court, Hillsborough, California 94010		
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Prior Foreign Application					Priority C Yes	laimed No
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Thereby claim the benefit u	ndix A (listing 1	8 U.S. Provis				
Application Ser. No.	FIII	ng Date			ii dad balawat	ad insofar
Thereby claim the benefit as the subject matter of eaprovided by the first parage defined in Title 37, Code national or PCT international	ach of the claims of graph of Title 35, Ur of Federal Regulat onal filing date of t	nited States Code ions, §1.56 which his application:	§112, I acknowledg occurred between	e the duty to disclose the filing date of the	e material infol	rmation as
See Attached Appe Application Ser. No.	<b>ndix B (listing</b> Fil	19 U.S. and P ing Date	CT Patent Appl Status	ications) : Patented, Pending	g, Abandoned	
Application Ser. No.	Fi	ling Date	Status	: Patented, Pending	g, Abandoned	



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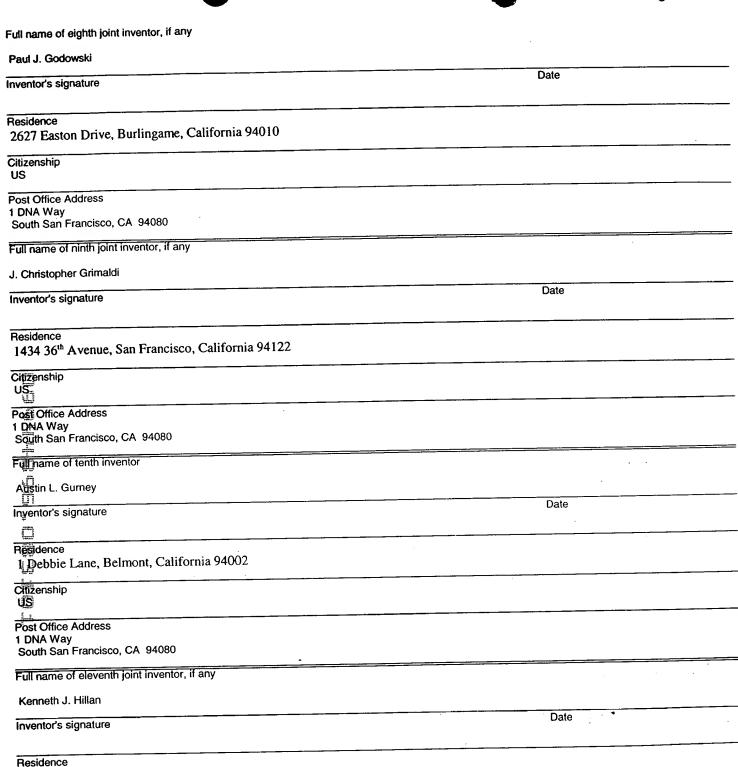
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izenship	
st Office Address	•
DNA Way outh San Francisco, CA 94080	
name of second joint inventor, if any	•
avid Botstein	
	Date
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539 Somerset Drive, Belmont, California 94002	•
539 Somerset Diffe, Bernond, Camerina 9	
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all name of third joint inventor, if any	
Dan L. Eaton	
ventor's signature	Date
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Mary E. Gerritsen	Date ·
Inventor's signature	Date :
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Audrey Goddard	÷ :
	Date
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Citizenship GB

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Full name of twelfth joint inventor, if any

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Ivar J. Kljavin		
Inventor's signature	Date	
		· 
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Citizenship		
US		
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South San Francisco, CA 94080		
Full name of thirteenth inventor		
Mary A. Napier		
	Date	· · · · · · · · · · · · · · · · · · ·
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100 M		
Margaret Ann Roy	Data	
Inventor's signature	Date	
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Citizenship		
Post Office Address		
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Full name of fifteenth joint inventor, if any		•
Daniel Tumas		
Inventor's signature	Date	
Residence		
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# William I. Wood Inventor's signature Residence 35 Southdown Court, Hillsborough, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $\underline{\phantom{a}}$  is attached hereto or  $\underline{\underline{X}}$  was filed on  $\underline{\underline{May 25, 2001}}$  as Application Serial No.  $\underline{\underline{09/866,028}}$ and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as

amended by any amendment referred to above		cut is a substantian in accordance with Title 37
l acknowledge the duty to disclose information wh Code of Federal Regulations, Section 1.56.		
I hereby claim foreign priority benefits under Title	35. United States Code, §119(a)-	(d) of any foreign application(s) for patent or
· · · · · · · · · · · · · · · · · · ·	lelillied below ally loloight special	ion for patent or inventor's certificate have a
filing date before that of the application on which	h priority is claimed:	
Tabasi Taba		Priority Claimed
Par Foreign Application(s):		<u>Yes</u> <u>No</u>
Number Country	Day/Month/Year Filed	
100 mg	o de S110/o) of any United S	tates provisional applications(s) listed below:
Tipereby claim the benefit under Title 35, United S	tates Code, § 1 19(e) of any office c	nation provisions say,
See attached Appendix A (listing 18	us Provisional Patent Ap	plications)
See attached Appendix A (listing To	Date	
Alanication oci. No.		ii ii (a) liste dhelewand insofal
Thereby claim the benefit under Title 35, United	States Code, §120 of any United St	ates applications(s) listed below and, misoral
as the subject matter of each of the claims of this	application is not disclosed with a	a the duty to disclose material information as
as the subject matter of each of the claims of this provided by the first paragraph of Title 35, Unite defined in Title 37, Code of Federal Regulation	a States Code, 9112, racknowledg	the filing date of the prior application and the
defined in Title 37, Code of Federal Regulation national or PCT international filing date of this	5, 91.00 Willow occasion and	
See Attached Appendix B (listing 19	<b>U.S. and PCT Patent Appl</b>	ications)
Application Ser. No. Filing	Date Status:	Patented, Pending, Abandoned
Approximent		
Filing	Date Status	: Patented, Pending, Abandoned
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Full name of sole or first inventor	
Kevin P. Baker	Date
nventor's signature	bale
Residence 14906 Indian Run Drive, Darnestown, Maryland 20878	
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Full name of second joint inventor, if any	
David Botstein	Date
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Dan L. Eaton	Date
Inventor's signature	Date
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US	
Post Office Address	

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Napoleone Ferrara	_
entor's signature	Date 8 7-01
esidence 1990 Pacific Avenue #704, San Francisco, California 94109	
izenship S	
ost Office Address DNA Way Jouth San Francisco, CA 94080	
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Ellen Filvaroff  nventor's signature	7/3//0/
Residence 538 18th Avenue, San Francisco, California 94121	
Zitzenship UŠ	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
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Mary E. Gerritsen	Date
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-ull name of thirteenth inventor	
Mary A. Napier	
Inventor's signature	Date
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Full name of fourteenth joint inventor, if any	
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Inventor's signature	Date
Rasidence 2960 Webster Street #4, San Francisco, California 94123	
Citizenship	.: 
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Full name of fifteenth joint inventor, Pany  Daniel Tumas	9-7101
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Full name of sixteenth inventor	
Inventor's pignature Residence 35 Southdown Court, Hillsborough, California 94010	Date 7 31 6 1
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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

lacknowledge the	duty to disclose inform	nation which is material to	o the examination of this application in accordance with	Title 37,
Code of Federal	Regulations, Section		(a) for	natent or
	ata lietad halaw 200 Di	inder Title 35, United Stat ave also identified below a n on which priority is clai	tes Code, §119(a)-(d) of any foreign application(s) for any foreign application for patent or inventor's certifical med:	te have a
IIIIg date before	that of the spens	·	Priority C	laimed
Prior Foreign Ap	plication(s):		Yes Yes	No
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See attached	Appendix A (lis	<u>ting 18 U.S. Provisi</u>	onal Patent Applications)	
Adulication Ser.	No.	Filling Date		
ff]		E United States Code 81	20 of any United States applications(s) listed below ar not disclosed in the prior United States application in th	nd, insofai
thereby claim th	e benefit under Title 3	ime of this application is N	20 of any United States applications (5) listed both in the order United States application in the S112. Lacknowledge the duty to disclose material informations of the control of the con	ne manne
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provided by the	nrscparagraph of Hilo 37. Code of Federal B	egulations, §1.56 which	§112, Lacknowledge the duty to disclose materials and control occurred between the filing date of the prior application	און מווט נווע
petined in Tille	international filing da	ate of this application:		
See Attache	d Appendix B (lis	sting 19 U.S. and PC	CT Patent Applications) Status: Patented, Pending, Abandoned	
Application Ser	. No.	Filing Date	Status: Patented, Fending, Abandones	
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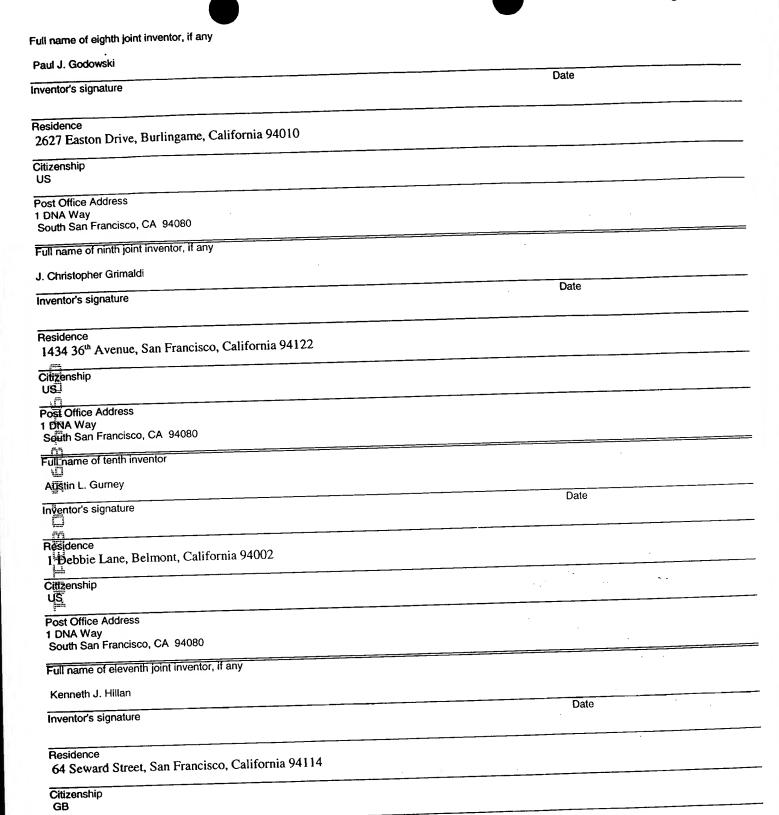
The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

herein will be so notified by the undersigned.	
Full name of sole or first inventor	
Kevin P. Baker	Date
Inventor's signature	
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GE	
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Full name of second joint inventor, if any	
David Botstein	
Pavid Botstein	Date
Inventor's signature	Date
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2539 Sollierset Diver, Boundary	
Citizenship	
US	
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Full name of third joint inventor, if any	·
·	
Dan L. Eaton	Date
Inventor's signature	
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US	

Post Office Address

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Ivar J		

	Date
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C. 41		٥f	sixteenth	inventor
-tat	name	Οī	sixteentri	HIVEHIO

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Priority Claimed Prior Foreign Application(s): No\_ Yes Day/Month/Year Filed Country Number Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Filing Date Application Ser. No. I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 19 U.S. and PCT Patent Applications) Status: Patented, Pending, Abandoned Filing Date Application Ser. No. Status: Patented, Pending, Abandoned Filing Date Application Ser. No.





Page 2

**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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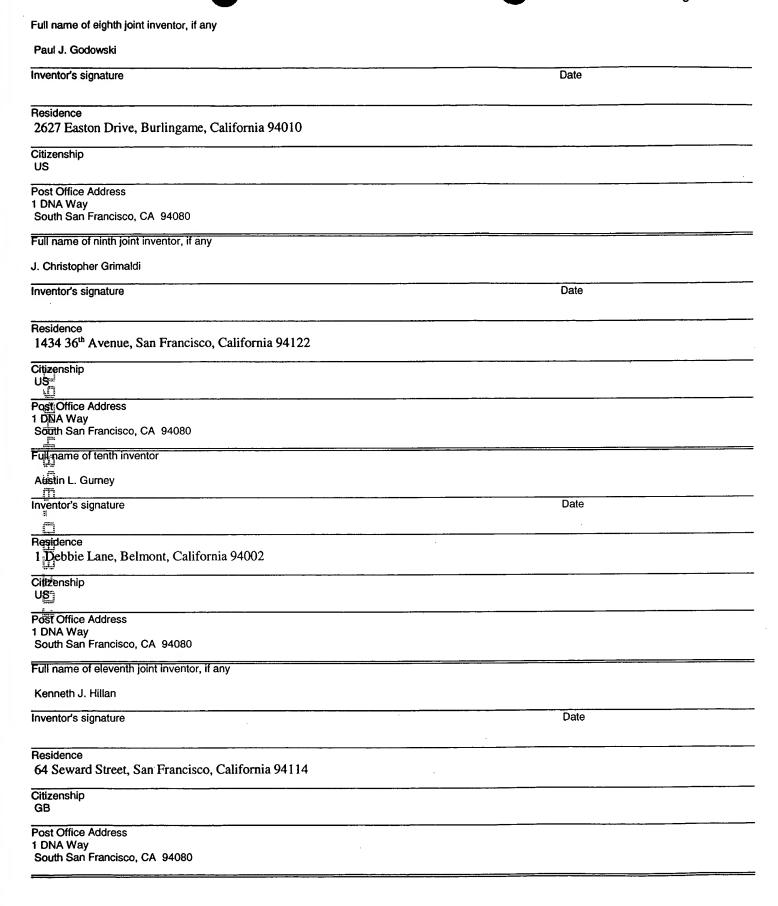
South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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# OMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

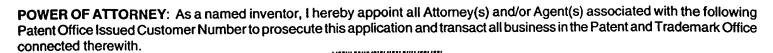
I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on May 25, 2001 as Application Serial No. 09/866,028 and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

l admoviladas the du	huta disalasa inform	nation which is materia	I to the evamination (	of this application in acc	ordancaw	ith Title 37
Code of Federal Rec			i to the examination (	л инэ аррисацогти асс	ordance w	an racor,
inventor's certificate	listed below and ha	nder Title 35, United Si ve also identified belov n on which priority is cl	v any foreign applica	(d) of any foreign appli tion for patent or invent	cation(s) fo or's certific	or patent or cate have a
Prior Foreign Applica	ation(s):				Priority Yes	Claimed No
N <del>u</del> mber 🗓	Country	Day/Month/	Year Filed			
		United States Code, §1			ations(s) li	sted below:
Application Ser. No.		Filing Date				
as the subject matter provided by the first p defined in Title 37, C	of each of the clair paragraph of Title 3 ode of Federal Re	United States Code, § ns of this application is 5, United States Code, gulations, §1.56 which e of this application:	not disclosed in the §112, I acknowledg	orior United States app e the duty to disclose m	lication in t aterial info	the manner ormation as
See Attached Ap	<u>ppendix B (list</u>	<u>ing 19 U.S. and P</u>				
Application Ser. No.		Filing Date	Status:	Patented, Pending, A	bandoned	J
Application Ser. No.		Filing Date	Status:	Patented, Pending, A	bandoned	į



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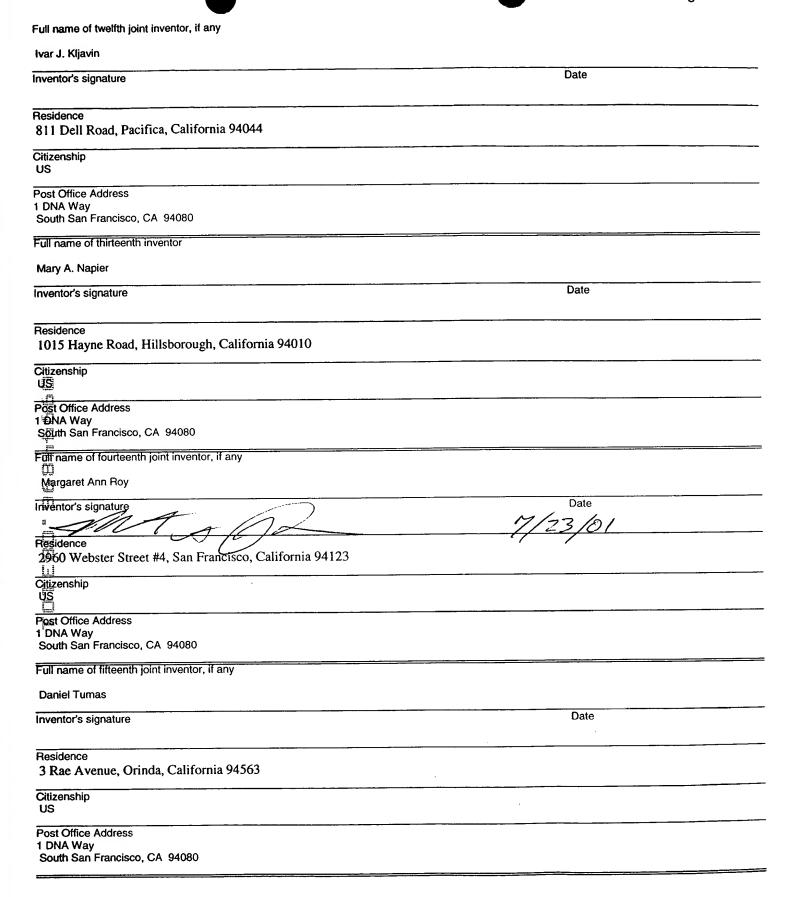
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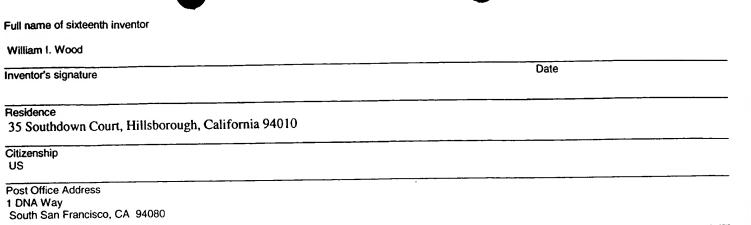
I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature